



# 2025 Financial Statements - Investors Questionnaire

## Rental Property, Managed Portfolio

The checklist is in several parts as detailed below.

Please complete the sections where they apply to you and your entity.

| Sections  |                        |
|---|------------------------|
| Update of Personal and Family Details           | Required (pages 2 – 3) |
| Records Required – Business Information         | Required (Pages 4 - 5) |
| Records Required - Investor & Other Information | Required (Page 6)      |
| General Information                             | If Applicable (Page 7) |
| <b>Supporting Schedule</b>                      |                        |
| Rental Property Checklist (Schedule 1)          | If Applicable (Page 8) |

Please complete the Authorisation below as this authorises us to contact necessary organisations, for example your bank or insurance company, to obtain information that is required to complete your accounts or taxation returns.

**Authorisation**

The attached Schedules of information and **enclosed** Accounting Records represent all our business transactions for the 2024/2025 financial year. I/We authorise *YRW Limited* to compile Financial Statements from the records and data supplied. Unless otherwise agreed, we agree to *YRW Limited's* standard memorandum of engagement terms, which are available at [www.yrw.co.nz](http://www.yrw.co.nz).

The Financial Statements are to be compiled as Special Purpose Financial Statements tailored to meet my/our specific information needs. General purpose Financial Statements will be compiled where I am/we are unable to elect, or contract for, the preparation of special purpose reports.

I/We accept responsibility for the accuracy and completeness of all records and information supplied to *YRW Limited*.

*YRW Limited* are hereby authorised to communicate with my Bankers, Solicitors, Finance Companies, Inland Revenue Department, Accident Compensation Corporation and other persons or organisations to obtain such further information as they may require in order to carry out the above assignments in respect of all our business and taxpayer entities.

**Entity Name** \_\_\_\_\_

**Person to Contact with Queries** \_\_\_\_\_

**Phone Number** \_\_\_\_\_

**Preferred Postal Address** \_\_\_\_\_

**Preferred method of communication**      Email       Hard Copy

**Preferred Email Addresses:**

**General Communication** \_\_\_\_\_

**Tax Notices** \_\_\_\_\_

**Financial Statements** \_\_\_\_\_

**Invoices** \_\_\_\_\_

**CLIENT SIGNATURE** \_\_\_\_\_ ← SIGN HERE

**YRW Limited**

Address all correspondence to:  
PO Box 948, Tauranga 3144

Tauranga  
13 McLean Street T 07 578 0069

Te Puke  
12A Oxford Street T 07 573 3996

## Update of Personal Details

|                                  |       |                      |       |
|----------------------------------|-------|----------------------|-------|
| <b>Business Physical Address</b> | _____ |                      |       |
| <b>Home Address</b>              | _____ |                      |       |
| <b>Home Phone</b>                | _____ | <b>Fax</b>           | _____ |
| <b>Work Phone</b>                | _____ | <b>Mobile/s</b>      | _____ |
| <b>Name</b>                      | _____ | <b>Date of Birth</b> | _____ |
| <b>Name</b>                      | _____ | <b>Date of Birth</b> | _____ |
| <b>ACC Number</b>                | _____ |                      |       |

## Family Details

|   |   |                           |                      |                   |    |
|---|---|---------------------------|----------------------|-------------------|----|
| 1) <b>Children details</b><br><i>Please complete the following:</i>   |   |                           |                      |                   |    |
| <b>Name of Child</b>  | <b>Date Left School<br/>(if applicable)</b> | <b>Tertiary Institute</b> | <b>Date of Birth</b> | <b>IRD Number</b> |    |
| _____   | _____                                       | _____                     | _____                | _____             |    |
| _____   | _____                                       | _____                     | _____                | _____             |    |
| _____   | _____                                       | _____                     | _____                | _____             |    |
| <b>Student Allowance letter required</b>  |   |                           |                      | Yes               | No |
| 2) <b>Working for Families Tax Credits</b>  |   |                           |                      |                   |    |
| Have you received any regular payments?   |   |                           |                      | Yes               | No |
| Have you had any changes in family circumstances?<br>If yes, provide full details, for e.g. Shared custody arrangements                         |   |                           |                      | Yes               | No |
| Your relationship with your spouse or partner (including a civil union or defacto partner) commenced or ended during the year. Provide dates.   |   |                           |                      |                   |    |
| 3) <b>Hours of Work</b>   |   |                           |                      |                   |    |
| If you are in a single parent family do you work more than 20 hours per week?   |   |                           |                      | Yes               | No |
| If you are in a two parent family are your combined hours of work more than 30 hours per week?  |   |                           |                      | Yes               | No |
| If you and/or your spouse or partner started or stopped working the required hours during the year please attach details of the dates involved. |   |                           |                      |                   |    |
| 4) <b>Child Support</b>   |   |                           |                      |                   |    |
| Have you received / paid any Child Support during the year?   |   |                           |                      | Yes               | No |
|   |   |                           |                      | \$ _____          |    |
| 5) <b>Other Payments Received</b>   |   |                           |                      |                   |    |
| Have you received any other payments from any person or entity that was used for the family's day to day living expenses?                       |   |                           |                      | Yes               | No |
| If you have answered yes, please advise amount received and nature of the payment.  |   |                           |                      |                   |    |
| \$ _____ Nature of Payment _____  |   |                           |                      |                   |    |

**Other Details**

|   |     |    |
|---|-----|----|
| 1) Would you like us to forward a copy of your Financial Statements to your bank?<br>Name of Bank _____<br>Contact Person _____   | Yes | No |
| 2) Please advise the details of the Solicitor currently acting for you / your business<br>_____   |     |    |
| 3) Bank Account details for Direct Lodgement of Rebates (i.e. donations), if applicable<br>Name of Account .....<br>Bank and Branch .....<br>Full Bank Account Number ..... |     |    |

# Records Required

## **Business Information**

Please provide the following files and / or hard copy information as requested below

|   |   |     |    |
|---|---|-----|----|
| A | <p><b>Computerised Accounts (only complete where system file given)</b></p> <ul style="list-style-type: none"> <li>Complete End of Year processes (please contact our office should you require assistance).</li> </ul> <p>System Files:</p> <ul style="list-style-type: none"> <li>CD / USB Flash Drive enclosed</li> <li>Emailed to <a href="mailto:software@yrw.co.nz">software@yrw.co.nz</a><br/>(click on email address to open a new email, attach your backup file and send to our office, please include your entity name in the message area)</li> </ul> <p>System Details:</p> <ul style="list-style-type: none"> <li>Package: _____</li> <li>Version: _____</li> <li>Username: _____</li> <li>Password: _____</li> </ul> | Yes | No |
|   |   | Yes | No |
|   |   | Yes | No |
| B | <p><b>Computerised Accounts (complete where system file <u>not</u> given)</b></p> <ul style="list-style-type: none"> <li>Complete End of Year processes (please contact our office should you require assistance).</li> <li>General Ledger printout for the financial year (detailed transaction list in account sequence)</li> <li>Trial Balance printout for the financial year</li> </ul>  | Yes | No |
|   |   | Yes | No |
|   |   | Yes | No |
| C | <p><b>Non Computerised Accounts</b><br/>Manual Cash Book / Bank Statements / Cheque Butts / Deposit Slips</p>   | Yes | No |

Please provide the following information (where applicable)

|    |  |     |    |
|----|--|-----|----|
| 1) | <p><b>Bank Statements</b><br/>Please provide copies of the bank statements covering balance date, and a bank reconciliation where one has been completed</p>   | Yes | No |
| 2) | <p><b>GST Returns</b><br/>Copies of all GST returns filed with the Inland Revenue Department during the year, including all workpapers and supporting documents used in calculating the GST returns.</p>   | Yes | No |
| 3) | <p><b>Loans / Hire Purchases</b><br/>Have you taken out a new loan, hire purchase or lease-to-own agreement since your last balance date?<br/>If yes, please provide loan balances as at balance date, statements, summaries and / or documentation of the new agreements or any change in borrowings including interest rates and security details.</p> | Yes | No |
| 4) | <p><b>Copies of invoices for:</b></p> <ul style="list-style-type: none"> <li>Legal expenses</li> <li>Overseas business travel (diary record of business and private days)</li> <li>Repairs &amp; Maintenance – items costing greater than \$500</li> <li>Entertainment</li> <li>Insurance</li> <li>ACC</li> </ul>  | Yes | No |
|    |  | Yes | No |
|    |  | Yes | No |
|    |  | Yes | No |
|    |  | Yes | No |
|    |  | Yes | No |

|  |                            |                         |                             |          |                               |          |                             |          |                              |          |                              |          |                                      |          |       |          |       |          |            |           |
|--|----------------------------|-------------------------|-----------------------------|----------|-------------------------------|----------|-----------------------------|----------|------------------------------|----------|------------------------------|----------|--------------------------------------|----------|-------|----------|-------|----------|------------|-----------|
| <p>5) <b>Other Source Records:</b></p> <ul style="list-style-type: none"> <li>• Invoices / Statements</li> <li>• Invoice Books</li> <li>• Petty Cash Books</li> </ul>  | <p>Yes<br/>Yes<br/>Yes</p> | <p>No<br/>No<br/>No</p> |                             |          |                               |          |                             |          |                              |          |                              |          |                                      |          |       |          |       |          |            |           |
| <p>6) <b>Property Transactions:</b><br/>Copies of :</p> <ul style="list-style-type: none"> <li>• Sale &amp; Purchase Agreements</li> <li>• Legal Statements</li> </ul>   | <p>Yes<br/>Yes</p>         | <p>No<br/>No</p>        |                             |          |                               |          |                             |          |                              |          |                              |          |                                      |          |       |          |       |          |            |           |
| <p>7) <b>Office at Home / Workshop</b><br/>Did you use any part of your home or garage to store business related tools, vehicles, financial records or to prepare your books?</p> <p>If yes, please provide the following:<br/> <b>Area of house</b> _____ sqft/m      <b>Area of Basement/Garage</b> _____ sqft/m<br/> <b>Area used for Business:</b><br/> Office _____ sqft/m      Workshop _____ sqft/m      Garage/Storage _____ sqft/m</p> <p><b><u>Household Expenses:</u></b></p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">Interest paid on mortgages</td> <td style="width: 20%; text-align: right;">\$ _____</td> </tr> <tr> <td>Rent paid (if house rented)</td> <td style="text-align: right;">\$ _____</td> </tr> <tr> <td>Rates (including water rates)</td> <td style="text-align: right;">\$ _____</td> </tr> <tr> <td>Power (Electricity and Gas)</td> <td style="text-align: right;">\$ _____</td> </tr> <tr> <td>Repairs to office / workshop</td> <td style="text-align: right;">\$ _____</td> </tr> <tr> <td>Insurance (House &amp; Contents)</td> <td style="text-align: right;">\$ _____</td> </tr> <tr> <td>Any other house expenses (specify) –</td> <td style="text-align: right;">\$ _____</td> </tr> <tr> <td>_____</td> <td style="text-align: right;">\$ _____</td> </tr> <tr> <td>_____</td> <td style="text-align: right;">\$ _____</td> </tr> </table> | Interest paid on mortgages | \$ _____                | Rent paid (if house rented) | \$ _____ | Rates (including water rates) | \$ _____ | Power (Electricity and Gas) | \$ _____ | Repairs to office / workshop | \$ _____ | Insurance (House & Contents) | \$ _____ | Any other house expenses (specify) – | \$ _____ | _____ | \$ _____ | _____ | \$ _____ | <p>Yes</p> | <p>No</p> |
| Interest paid on mortgages   | \$ _____                   |                         |                             |          |                               |          |                             |          |                              |          |                              |          |                                      |          |       |          |       |          |            |           |
| Rent paid (if house rented)  | \$ _____                   |                         |                             |          |                               |          |                             |          |                              |          |                              |          |                                      |          |       |          |       |          |            |           |
| Rates (including water rates)  | \$ _____                   |                         |                             |          |                               |          |                             |          |                              |          |                              |          |                                      |          |       |          |       |          |            |           |
| Power (Electricity and Gas)  | \$ _____                   |                         |                             |          |                               |          |                             |          |                              |          |                              |          |                                      |          |       |          |       |          |            |           |
| Repairs to office / workshop   | \$ _____                   |                         |                             |          |                               |          |                             |          |                              |          |                              |          |                                      |          |       |          |       |          |            |           |
| Insurance (House & Contents)   | \$ _____                   |                         |                             |          |                               |          |                             |          |                              |          |                              |          |                                      |          |       |          |       |          |            |           |
| Any other house expenses (specify) –   | \$ _____                   |                         |                             |          |                               |          |                             |          |                              |          |                              |          |                                      |          |       |          |       |          |            |           |
| _____  | \$ _____                   |                         |                             |          |                               |          |                             |          |                              |          |                              |          |                                      |          |       |          |       |          |            |           |
| _____  | \$ _____                   |                         |                             |          |                               |          |                             |          |                              |          |                              |          |                                      |          |       |          |       |          |            |           |

## Investor & Other Information

| 1)                             | <b>Income</b><br>Did you receive any income from paid wages / superannuation?<br>If yes, the IRD will send us your Summary of Earnings automatically.   | Yes                            | No                             |              |                 |       |       |          |          |       |       |          |          |       |       |          |          |     |    |
|--------------------------------|---|--------------------------------|--------------------------------|--------------|-----------------|-------|-------|----------|----------|-------|-------|----------|----------|-------|-------|----------|----------|-----|----|
| 2)                             | <b>Rebate Information</b><br>Please provide receipts for Donations made   | Yes                            | No                             |              |                 |       |       |          |          |       |       |          |          |       |       |          |          |     |    |
| 3)                             | <b>Other Income</b><br>Did you receive any other income, for example from estates or trusts, annuity / pensions ?<br>If yes, attach a copy of supporting documentation<br><br><table border="0"> <thead> <tr> <th><i>Person Receiving Income</i></th> <th><i>Source / Type of Income</i></th> <th><i>Gross</i></th> <th><i>Tax Paid</i></th> </tr> </thead> <tbody> <tr> <td>_____</td> <td>_____</td> <td>\$ _____</td> <td>\$ _____</td> </tr> <tr> <td>_____</td> <td>_____</td> <td>\$ _____</td> <td>\$ _____</td> </tr> <tr> <td>_____</td> <td>_____</td> <td>\$ _____</td> <td>\$ _____</td> </tr> </tbody> </table>  | <i>Person Receiving Income</i> | <i>Source / Type of Income</i> | <i>Gross</i> | <i>Tax Paid</i> | _____ | _____ | \$ _____ | \$ _____ | _____ | _____ | \$ _____ | \$ _____ | _____ | _____ | \$ _____ | \$ _____ | Yes | No |
| <i>Person Receiving Income</i> | <i>Source / Type of Income</i>  | <i>Gross</i>                   | <i>Tax Paid</i>                |              |                 |       |       |          |          |       |       |          |          |       |       |          |          |     |    |
| _____                          | _____   | \$ _____                       | \$ _____                       |              |                 |       |       |          |          |       |       |          |          |       |       |          |          |     |    |
| _____                          | _____   | \$ _____                       | \$ _____                       |              |                 |       |       |          |          |       |       |          |          |       |       |          |          |     |    |
| _____                          | _____   | \$ _____                       | \$ _____                       |              |                 |       |       |          |          |       |       |          |          |       |       |          |          |     |    |
| 4)                             | <b>Portfolio Summary Reports</b><br>Do you use an investment manager or advisor?<br>If yes, please provide a copy of any portfolio summary reports received in respect of the year.   | Yes                            | No                             |              |                 |       |       |          |          |       |       |          |          |       |       |          |          |     |    |
| 5)                             | <b>New Zealand - Interest / Dividends / Portfolio Investment Entities (PIE) Income</b><br>Did you receive any income from these sources?<br>If yes, please provide advice notices   | Yes                            | No                             |              |                 |       |       |          |          |       |       |          |          |       |       |          |          |     |    |
| 6)                             | <b>Overseas - Interest / Dividends / Other Income / Pensions / Superannuation</b><br>Did you receive any income from these sources?<br>If yes, please provide income distribution details<br>We also require the following information for each shareholding: <ul style="list-style-type: none"> <li>Name of the Company and the number of shares held</li> <li>Market Value of these Shares as at 31 March 2025</li> <li>Details and documentation of all sales &amp; purchases</li> <li>Details of share reinvestments</li> </ul> Do you have any interest in a foreign company, unit trust, life insurance policy, pension fund or super scheme?<br>If yes, please provide details | Yes                            | No                             |              |                 |       |       |          |          |       |       |          |          |       |       |          |          |     |    |
| 7)                             | <b>Rental Income (including Air B &amp; B)</b><br>Did you receive any rental income? (IF YES, COMPLETE SCHEDULE 1)  | Yes                            | No                             |              |                 |       |       |          |          |       |       |          |          |       |       |          |          |     |    |
| 8)                             | <b>Income Protection Insurance</b><br>Do you have Income Protection Insurance?<br>If yes, please attach a copy of the invoice.  | Yes                            | No                             |              |                 |       |       |          |          |       |       |          |          |       |       |          |          |     |    |
| 9)                             | <b>Kiwi Saver</b><br>Do you belong to Kiwi Saver?<br>If yes, Name of Provider _____<br><br>Please provide a copy of your end of year tax statement to check that the tax rate being applied is correct based on your income.  | Yes                            | No                             |              |                 |       |       |          |          |       |       |          |          |       |       |          |          |     |    |

## General Information

Please complete if applicable:

### Estate Planning & Asset Protection

|    |  |            |          |
|----|--|------------|----------|
| 1) | Have you updated your Wills within the last 5 years?<br>Have you given anyone your Powers of Attorney<br>If so, please provide details _____ | Yes<br>Yes | No<br>No |
| 2) | Would you like us review Estate Planning / Asset Protection issues ?   | Yes        | No       |
| 3) | If you have a Trust, have you updated your: <ul style="list-style-type: none"><li>• Memorandum of Wishes</li><li>• Trustees</li></ul>        | Yes<br>Yes | No<br>No |

### Residency

|    |  |           |           |
|----|--|-----------|-----------|
| 1) | Were you a non-resident at any time during the income tax year?<br>If so, please provide full details. | Yes<br>No | Yes<br>No |
|----|--|-----------|-----------|

# Schedule 1 – Rental Property

Entity Name: \_\_\_\_\_

|  |  |    |
|--|--|----|
| <b>Address of Property:</b>  |  |    |
| <b>Rental Period</b><br>Number of weeks property was rented or available to rent.  | No. x weeks<br>_____   |    |
| <b>Rental Income</b><br>Total Rents Received<br>Other Income – please provide details  | \$ _____<br>\$ _____   |    |
| <b>Expenses paid for rental property (please attach all invoices):</b><br>Interest<br>Rates<br>Insurance (House and Contents)<br>Power (Electricity and Gas)<br>Repairs & Maintenance<br>Collection cost or number of kilometres driven to collect rents and service property<br>Commission / fees for property management<br>Home office use (discuss any claim)<br>Telephone (discuss any claim)<br>Other expenses – please provide details, e.g. advertising etc. | \$ _____<br>\$ _____<br>\$ _____<br>\$ _____<br>\$ _____<br>\$ _____<br>\$ _____<br>\$ _____<br>\$ _____ |    |
| <b>Property Purchased or Sold during year:</b><br>If yes, enclose:<br>• Sale & Purchase Agreement<br>• Solicitor's Legal Statements  | Yes  | No |
| <b>Property Details:</b><br>Is this the first year you have owned or rented this property? If yes, please attach:<br>• Valuation (QV / market)<br><br>Chattels Purchased:<br>• Please attach details of Chattels purchased (nature, cost, acquisition date)  | Yes  | No |
| <b>Property Manager:</b><br>Do you use a Property Manager to manage the rental property?<br>If so, please provide copies of the Property Management Statements for the financial year  | Yes  | No |
| <b>Occupancy:</b><br>• Was there any period of vacancy of your rental properties during the year?<br>If yes, please advise the reason why:<br>_____<br>_____<br>• Were any of the rental properties occupied by either yourself or a relative during the year?   | Yes  | No |
| <b>GST Registration:</b><br>• Are you registered for GST for this property?  | Yes  | No |